FORM CD-451	U.S. DEPARTMENT OF COMMERCE		□ GRANT X COOPE	☐ GRANT X COOPERATIVE AGREEMENT	
(REV 10/98) AMENDMENT TO FINANCIAL ASSISTANCE AWARD			ACCOUNTING CODE cc: 2/4721348 Reg. No. 2/472-2108	cc: 2/4721348 Obj. Class: 4110	
			AWARD NUMBER 70NANB1H3050		
RECIPIENT NAME Computer Aided Surgery, Inc.			#06	AMENDMENT NUMBER #06	
STREET ADDRESS 300 East 33 rd Street, Suite 4N			EFFECTIVE DATE	EFFECTIVE DATE JUN 2 7 2003	
CITY, STATE, ZIP CODE New York, NY 10016			EXTEND WORK CON	EXTEND WORK COMPLETION TO	
CFDA ND. AND PROJECT Troject Yitle: Anatomic Com	TITLE: 11.512, Advanced Technolog pater Modeling for Precise and Ad	y Program (ATP), Proposi curate Theraples	ai No. 00-00-4607		
COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	OEDUCT	TOTAL ESTIMATED COST	
FEDERAL SHARE OF C	\$1,400,000.00	\$0	\$0	\$1,400,000.00	
RECIPIENT SHARE OF COST	\$ 67,000.00	\$O	\$0	\$67,000.00	
TOTAL ESTIMATED CO	\$1,467.000.00	\$0	\$0	\$1,467,000.00	
Special Award #7. This Amendment appro-	IDMENT ement is being amended to su ment by the Grants Officer is issued by the Grants Officer is issued.	sued in triplicate and o	constitutes an obligation of	Federal funding. By stgning	
previous provisions inc returned to the Grants	corporated into the Award. Upon Officer and the third docume cipient within 30 days of recei	on acceptance by the ent shall be retained.	Recipient, two signed Ame by the Recipient. If not si	endment documents shall be igned and returned without this Amendment.	
X Special Award Conditi	ons		, ,	GOVERNMENT EXHIBIT	
U Line item Budget				26	
(7 Other(s) B-AE93	3-N-C-F-N-A-36-51000 EIN: 13	3-38809180 472/B.J. L	ide/J. Orthwein	07 Cr. 541 (RPP) (ID)	
SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER Marilyn Goldstein Lonfin filolistan 6.27-03					
TYPED NAME, TYPED	TITLE, AND SIGNATURE OF A	 ·v	NT OFFICIAL	DATE	

SPECIAL AWARD CONDITIONS ADVANCED TECHNOLOGY PROGRAM – SINGLE RECIPIENT COMPUTER AIDED SURGERY, INC. COOPERATIVE AGREEMENT NO. 70NANB1H3050 AMENDMENT #06

THE FOLLOWING SPECIAL AWARD CONDITION IS INCORPORATED:

12. SUSPENSION

Pursuant to OMB Circular A-110 Section__.51 and Department of Commerce regulations at 15 CFR sec. 14.52 (a) (1) and (3), this cooperative agreement is suspended effective as of the date of this amendment. Beset upon preliminary audit information, you are in non-compliance of Special Award Condition #7 "Cost Share". No further coats shall be incurred under this award without the express written approval of the NIST Grants Officer, pursuant to 15 CFR sec. 14.62 (c).

This award will remain suspended until Recipient provides evidence, satisfactory to the Grants Officer that it is in full-compliance with Special Award Condition #7.

L PRIOR TERMS AND CONDITIONS REMAIN THE SAME.

ln

To: hope.snowderi@nist.gov

Subject: 1H3050

Cc: jayne Orthwein <jayne.orthwein@nist.gov>

Jayne Orthwein and I concur with the decision of the Grants Office to suspend award 1H3050 immediately, based on noncompliance.

Thank you.

Bettijoyca Lide Program Manager, Healthcare Informatics Competitions Manager Advanced Technology Program phone (301) 975-2218 fax (301) 926-9524 e-mail: bettijoyce.lide@nist.gov

In

To: hope.snowden@nist.gov

Subject: Suspension Cc: bjlide@nist.gov

Норе,

Based upon the information received from the auditor positioned at CASI, Lagree with the Grants recommendation for immediate suspension.

Jayne

June 27, 2003

Dr. D. B. Karron Computer Aided Surgery, Inc. 300 East 33rd Street Suite 4N New York, NY 10016

RE:

Cooperative Agreement No. 70NANB1H3050

Amendment No. 06

Dear Dr. Karron:

Enclosed please find an original and two (2) copies of Amendment No. 06 to the above referenced Cooperative Agreement. Within thirty (30) days of receipt of these documents, please have an authorized individual sign and date the documents, and <u>return the original and copy number 2 to my attention at:</u>

National Institute of Standards and Technology Grants Office 100 Bureau Drive, Building 411, STOP 3580 Gaithersburg, MD 20899-3580.

You may retain copy number 3 for your files. If you have any questions, please feel free to contact me at (301) 975-6002 or by fax at (301) 840-5976 or via e-mail at hope.snowden@nist.gov.

Sincerely,

Hope Snowden Grants Specialist

Enclosures: Form CD-451 (3)

bc: File, Reader, BJ. Lide/ J. Orthwein ATP Project Manager NIST/OA/GAMD (358): H.Snowden: 975-6002: B.Rivera (DOC:letters/ament): wp61\File in Bldg 411, A-143